



WENMM/SB/21 (12/00)

APR 16 2002

|   |                        |                    |
|---|------------------------|--------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number     | 09/394.867         |
|   | Filing Date            | September 13, 1999 |
|   | First Named Inventor   | Williams, David A. |
|   | Group Art Unit         | 1633               |
|   | Examiner Name          | Nguyen, Dave Trong |
| Total Number of Pages in this Submission  | Attorney Docket Number | 7037-377           |

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| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers   | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment Response                       | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input checked="" type="checkbox"/> After Final                              | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition     | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> To Convert a Provisional Application                            | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure (please identify below)           |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer   | <input checked="" type="checkbox"/> Return Receipt Postcard                                |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Small Entity Statement  | NOTICE OF APPEAL   |
| <input type="checkbox"/> Certified Copy of Priority Documents                | <input type="checkbox"/> Request for Refund  |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks  |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual Name                    | Kenneth A. Gandy<br>Woodard, Emhardt, Naughton, Moriarty & McNett |
| Signature                                  | <i>Kenneth A. Gandy</i>   |
| Date                                       | June 4, 2002  |

| Certificate of Mailing  |                         |      |              |
|---|-------------------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on this date: |                         |      |              |
| Typed or printed name   | Kenneth A. Gandy        |      |              |
| Signature   | <i>Kenneth A. Gandy</i> | Date | June 4, 2002 |

IU-033-CIP2-CON:KAG:171526/am



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# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number 09/394,867  
Filing Date September 13, 1999  
First Named Inventor Williams, David A.  
Group Art Unit 1633  
Examiner Name Nguyen, Dave T.  
Attorney Docket Number 7037-377/U-33-CIP2-CON

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Total Amount of Payment (\$1240.00)

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

23-3030

Deposit Account Name

Woodard, Emhardt, Naughton, Morlarty & McNett



Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17



Applicant claims small entity status. See 37 CFR 1.27.

2.



Payment Enclosed:



Check

☐ Credit Card

☐ Money Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code    | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------|----------------|-----------------|------------------------|----------|
| 101               | 740             | 201            | 370             | Utility Filing Fee     |          |
| 106               | 330             | 206            | 165             | Design Filing Fee      |          |
| 107               | 510             | 207            | 255             | Plant Filing Fee       |          |
| 108               | 740             | 208            | 370             | Reissue Filing Fee     |          |
| 114               | 160             | 214            | 80              | Provisional Filing Fee |          |
| SUBTOTAL (1) (\$) |                 |                |                 |                        |          |

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee From Below | Fee Paid |
|--------------------|--------------|----------------|----------|
|                    | -20** =      | X              |          |
| Independent Claims | -3** =       | X              |          |
| Multiple Dependent |              |                |          |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                         |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                     |
| 109            | 84              | 209            | 42              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for ex parte reexamination                            |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner                            |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 400             | 216            | 200             | Extension for reply within second month                                    |          |
| 117            | 920             | 217            | 460             | Extension for reply within third month                                     | 920.00   |
| 118            | 1,440           | 218            | 720             | Extension for reply within fourth month                                    |          |
| 128            | 1,960           | 228            | 980             | Extension for reply within fifth month                                     |          |
| 119            | 320             | 219            | 160             | Notice of Appeal   | 320.00   |
| 120            | 320             | 220            | 160             | Filing a brief in support of an appeal                                     |          |
| 121            | 280             | 221            | 140             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,280           | 241            | 640             | Petition to revive - unintentional   |          |
| 142            | 1,280           | 242            | 640             | Utility issue fee (or reissue)   |          |
| 143            | 460             | 243            | 230             | Design issue fee   |          |
| 144            | 620             | 244            | 310             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 740             | 246            | 370             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149            | 740             | 249            | 370             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179            | 740             | 279            | 370             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

Other Fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$1240.00)

## SUBMITTED BY

Name (Print/Type)

Kenneth A. Gandy

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Signature

*Kenneth A. Gandy*

Date

June 4, 2002

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